

Receipt

H5276b

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Michihiro Nagaishi, et al. ATTN: Office of Initial Patent Examination  
Customer Service Center

RECEIVED

Serial No.: 09/762,126

Filed: February 02, 2001

JUL 19 2001

Title: DOCUMENT CATEGORIZING METHOD, DOCUMENT CATEGORIZING APPARATUS AND STORAGE MEDIUM ON WHICH A DOCUMENT ...  
Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence and the documents attached hereto, are, on this date, being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: March 21, 2001

  
Brianne L. Leis

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents  
Washington, D.C. 20231

ATTN: OFFICE OF INITIAL PATENT EXAMINATION  
CUSTOMER SERVICE CENTER

Sir:

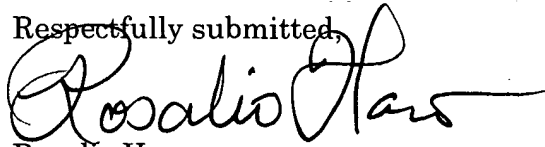
In connection with the above-identified patent application, an error has been noted in the filing receipt with respect to the following data:

Title:

Please correct "Document sorting method, document sorter, and recorded medium on which document sorting program is recorded" to --Document categorizing method, document categorizing apparatus, and storage medium on which a document categorization program is stored--.

Applicant respectfully requests that a corrected filing receipt be issued and a correction be made of record in the application.

Respectfully submitted,

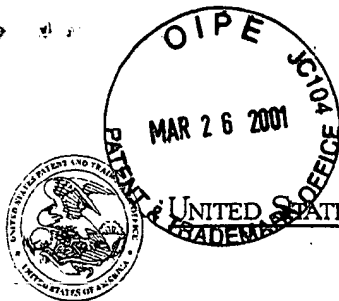


Rosalio Haro

Registration No. 42,633

Please address all correspondence to:  
Epson Research and Development, Inc.  
Intellectual Property Department  
150 River Oaks Parkway, Suite 225  
San Jose, CA 95134  
Customer No. 20178  
Phone: (408) 952-6000  
Fax: (408) 954-9058

Date: March 21, 2001



RH

RECEIVED

JUL 19 2001

Technology Center 2100

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/762,126	02/02/2001	2176	1100	P5276b	11	13	6

20178  
EPSON RESEARCH AND DEVELOPMENT INC  
INTELLECTUAL PROPERTY DEPT  
150 RIVER OAKS PARKWAY, SUITE 225  
SAN JOSE, CA 95134

**FILING RECEIPT**



\*OC000000005846528\*

RECEIVED

MAR 19 2001

ERD/IPD

Date Mailed: 03/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Shinji Miwa, Yamanashi-ken, JAPAN;  
Michihiro Nagaishi, Nagano-ken, JAPAN;

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A 371 OF PCT/JP00/03625 06/02/2000

**Foreign Applications**

JAPAN 11-212501(P) 07/27/1999  
JAPAN 11-158498(P) 06/04/1999

If Required, Foreign Filing License Granted 03/09/2001

**Title**

~~Document sorting method, document sorter, and recorded medium on which document sorting program is recorded~~  
DOCUMENT CATEGORIZING METHOD, DOCUMENT CATEGORIZING APPARATUS, AND STORAGE MEDIUM ON WHICH A DOCUMENT CATEGORIZATION PROGRAM IS STORED

**Preliminary Class**

707

Data entry by : NGUYEN, SON

Team : OIPE

Date: 03/09/2001





RECEIVED

JUL 19 2001

Technology Center 2100

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 1392

<b>SERIAL NUMBER</b> 09/762,126	<b>FILING DATE</b> 02/02/2001 <b>RULE</b>	<b>CLASS</b> 707	<b>GROUP ART UNIT</b> 2176	<b>ATTORNEY DOCKET NO.</b> P5276b
------------------------------------	---	---------------------	-------------------------------	--------------------------------------

**APPLICANTS**
 Shinji Miwa, Yamanashi-ken, JAPAN;  
 Michihiro Nagaishi, Nagano-ken, JAPAN;
**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A 371 OF PCT/JP00/03625 06/02/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***
 JAPAN 11-212501(P) 07/27/1999  
 JAPAN 11-158498(P) 06/04/1999

**IF REQUIRED, FOREIGN FILING LICENSE**  
**GRANTED \*\* 03/09/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> JAPAN	<b>SHEETS DRAWING</b> 11	<b>TOTAL CLAIMS</b> 13	<b>INDEPENDENT CLAIMS</b> 6
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

**ADDRESS**

20178

**TITLE**

Document categorizing method, document categorizing apparatus, and storage medium on which a document categorization program is stored

<b>FILING FEE RECEIVED</b> 1100	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit